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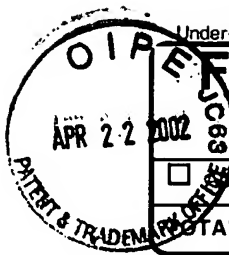
|   |   |                        |                     |
|---|---|------------------------|---------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) |   | Application Number     | 10/018047           |
|   |   | Filing Date            | December 7, 2001    |
|   |   | First Named Inventor   | Johannes Schellmann |
|   |   | Group Art Unit         |                     |
|   |   | Examiner Name          |                     |
| Total Number of Pages in This Submission  | 8 | Attorney Docket Number | 98580P078           |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Response   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                                      | <input type="checkbox"/> Petition to Convert a Provisional Application                  | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                                      | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Terminal Disclaimer  | Declaration and Power of Attorney, return postcard   |
| <input type="checkbox"/> Information Disclosure Statement                               | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application               | Remarks   |  |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Eric S. Hyman, Reg. No. 30,139<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN |
| Signature                                  |  |
| Date                                       | 4/11/02  |

| CERTIFICATE OF MAILING (OR TRANSMISSION)   |               |      |         |
|--|---------------|------|---------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: 4-12-02 |               |      |         |
| Typed or printed name  | Melissa Stead | Date | 4-12-02 |
| Signature  |               |      |         |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# **FREE TRANSMITTAL** **for FY 2002**

*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) **130.00**

## **Complete if Known**

|                        |                             |
|------------------------|-----------------------------|
| Application Number     | 10/018047                   |
| Filing Date            | 12/07/01                    |
| First Named Inventor   | Johannes Schellmann, et al. |
| Examiner Name          |                             |
| Group Art Unit         |                             |
| Attorney Docket Number | 98580P078                   |

## **METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number  
Deposit Account Name

02-2666

Blakely, Sokoloff, Taylor & Zafman

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account

## **FEE CALCULATION**

### **1. FILING FEE**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 740      | 201      | 370      | Utility filing fee     |          |
| 106      | 330      | 206      | 165      | Design filing fee      |          |
| 107      | 510      | 207      | 255      | Plant filing fee       |          |
| 108      | 740      | 208      | 370      | Reissue filing fee     |          |
| 114      | 160      | 214      | 80       | Provisional filing fee |          |

**SUBTOTAL (1)** (\$)

### **2. CLAIMS**

| Total Claims | Extra | Fee from below | Fee Paid |
|--------------|-------|----------------|----------|
| 29           |       |                |          |
| 1            |       |                |          |

Multiple Dependent Claims

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description   |
|----------|----------|----------|----------|---|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                  |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                       |
| 104      | 280      | 204      | 140      | Multiple Dependent claim                                |
| 109      | 84       | 209      | 42       | Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$)

\*or number previously paid, if greater, For Reissues, see above

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEE**

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|--|----------|
| 105                   | 130                   | 205                   | 65                    | Surcharge - late filing fee or oath  | 130      |
| 127                   | 50                    | 227                   | 25                    | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139                   | 130                   | 139                   | 130                   | Non-English specification  |          |
| 147                   | 2,520                 | 147                   | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                   | 920                   | 112                   | 920                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113                   | 1,840                 | 113                   | 1,840                 | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | 215                   | 55                    | Extension for response within first month                                  |          |
| 116                   | 400                   | 216                   | 200                   | Extension for response within second month                                 |          |
| 117                   | 920                   | 217                   | 460                   | Extension for response within third month                                  |          |
| 118                   | 1,440                 | 218                   | 720                   | Extension for response within fourth month                                 |          |
| 128                   | 1,960                 | 228                   | 980                   | Extension for response within fifth month                                  |          |
| 119                   | 320                   | 219                   | 160                   | Notice of Appeal   |          |
| 120                   | 320                   | 220                   | 160                   | Filing a brief in support of an appeal                                     |          |
| 121                   | 280                   | 221                   | 140                   | Request for oral hearing   |          |
| 138                   | 1,510                 | 138                   | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | 240                   | 55                    | Petition to revive - unavoidably   |          |
| 141                   | 1,280                 | 241                   | 640                   | Petition to revive - unintentionally                                       |          |
| 142                   | 1,280                 | 242                   | 640                   | Utility issue fee (or reissue)   |          |
| 143                   | 460                   | 243                   | 230                   | Design issue fee   |          |
| 144                   | 620                   | 244                   | 310                   | Plant issue fee  |          |
| 122                   | 130                   | 122                   | 130                   | Petitions to the Commissioner  |          |
| 123                   | 50                    | 123                   | 50                    | Petitions related to provisional applications                              |          |
| 126                   | 180                   | 126                   | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581                   | 40                    | 581                   | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                   | 740                   | 246                   | 370                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                   | 740                   | 249                   | 370                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179                   | 740                   | 279                   | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169                   | 900                   | 169                   | 900                   | Request for expedited examination of a design application                  |          |

Other fee (specify)

**SUBTOTAL (3)** (\$) **130.00**

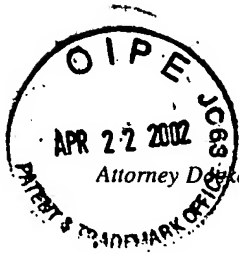
\* Reduced by Basic Filing Fee Paid

## **SUBMITTED BY**

Complete (if applicable)

|                       |                                |                                   |  |           |                |
|-----------------------|--------------------------------|-----------------------------------|--|-----------|----------------|
| Typed or Printed Name | Eric S. Hyman, Reg. No. 30,139 | Registration No. (Attorney/Agent) |  | Telephone | (310) 207-3800 |
| Signature             |                                |                                   |  | Date      | 4/11/02        |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



Attorney Docket No.: 98580P078

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

JOHANNES SCHELLMANN, ET AL.

Application No.: 10/018047

Filed: December 07, 2001

For: **METHOD FOR ACQUIRING AND  
PROCESSING DATA OF BUSINESS  
TRANSACTIONS - UTILITY**

Assistant Commissioner for Patents  
Attention: **Box Missing Parts**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application mailed March 15, 2002, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (3) a copy of the Notification of Missing Requirements.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Eric S. Hyman, Reg. No. 30,139

Dated: 4/12/02

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231  
on: 4-12-02

04/25/2002 MALI11 00000056 10018047

01 FC:154

130.00 OP

Melissa Stead

Date



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

ESH

Fenz

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/018,047                  | Johannes Schellmann   | 98580P078        |

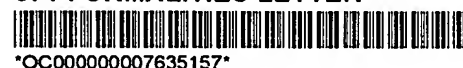
| INTERNATIONAL APPLICATION NO. |               |
|-------------------------------|---------------|
| PCT/EP01/03844                |               |
| I.A. FILING DATE              | PRIORITY DATE |
| 04/04/2001                    | 04/10/2000    |

Blakely Sokoloff Taylor & Zafman  
12400 Wilshire Blvd. 7th Floor  
Los Angeles, CA 90025-1026

**RECEIVED**  
MAR 25 2002

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

CONFIRMATION NO. 6152  
371 FORMALITIES LETTER



\*OC00000007635157\*

Date Mailed: 03/15/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of the International Application
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

Telephone: (703) 305-3654

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/018,047                  | PCT/EP01/03844                | 98580P078        |

FORM PCT/DO/EO/905 (371 Formalities Notice)